

Principal Pnb Asset Management Company Private Limited

Investment Manager for **Principal Mutual Fund**

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COMMON TRANSACTION FORM

Sole/First Holder : _____
Second Holder : _____
Third Holder : _____
Common A/c No.: _____ Date : _____

Registrar's Stamp & Date
Date : _____
Broker Code : _____
Broker Name : _____

Scheme _____ **Plan** _____ **Option*** _____

1. Additional Purchase

I/We want to make additional purchases

Please Refer Instruction No. 2 overleaf

Cheque/DD No _____ for Rs _____

dated _____ drawn on _____

DD Charges Rs _____ Gross Amount# Rs (in figures) _____

Gross Amount* Rs (in words) _____

Cheque/DD + DD Charges

2. Systematic Investment Plan (SIP)

I/We would like to enrol for SIP

Bank Code _____ Bank A/c No _____

Bank Name & Branch _____

No. of Cheques (at least 6) _____ Amount Per Cheque Rs _____ Total Amount Rs _____

Frequency ☐ Monthly ☐ Quarterly ☐ Cheque Nos. _____

Start Month _____ SIP Date ☐ 5th ☐ 15th ☐ 25th (the date on which you want to invest)

3. Redemption

I/We want to redeem _____ units or Rs (in figures) _____

Rs (in words) _____

Please Refer Instruction No. 3 overleaf

4. Systematic Withdrawal Plan (SWP)

I/We would like to enrol for SWP

Start Month _____ End Month (optional) _____

Frequency ☐ Monthly ☐ Quarterly ☐ Semi-annual ☐ Annual SWP Amount _____

SWP date ☐ 1st ☐ 11th ☐ 21st (the date of the month on which you want to withdraw)

5. Switch / Systematic Transfer Plan (SSTP)

I/We would like to switch _____ units or Rs (in figures) _____ Rs (in words) _____

To : Scheme _____ Plan _____ Option _____

Start Month _____ End Month (optional)\$ _____

For Systematic Transfer Plan Only (Atleast 6 Transfers):

Frequency ☐ Monthly ☐ Quarterly ☐ Semi-annual ☐ Annual SSTP Amount _____ SSTP date ☐ 1st ☐ 11th ☐ 21st (the date of the month on which you want to withdraw)

Signature of Sole/First Unitholder		Signature of Second Unitholder		Signature of Third Unitholder	
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6. Change of Address/Telephone Number/Fax Number/E-mail Address

New Details:

New Address : _____

_____ City _____

Pin _____ State _____

Tel No. : Office _____ Residence _____

Fax : _____

E-mail : _____

7. Change of Bank Mandate

Old Bank Account No. : _____

New Details

Bank Name : _____

Branch Name/Address : _____

_____ Pin _____ Branch Code _____

Bank Account No. : _____ Bank Code _____

Account Type (✓) : ☐ Current ☐ Savings ☐ NRO ☐ NRE ☐ FCNR ☐ NRSR

* Applicable for Dividend Plans only. Information & Instructions for all the schemes of Principal Mutual Funds is given overleaf.

\$ If not specified, it will continue till availability of funds in the said account.

Signature of Sole/First Unitholder		Signature of Second Unitholder		Signature of Third Unitholder	
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Scheme	Plan		Option	Scheme	Plan		Option	Scheme	Plan		Option			
Cash Management Fund - Liquid Option and Cash Management Fund - Liquid Option - Institutional Plan	Growth			Monthly Income Plan - MIP Plus	Growth		Accumulation	Balanced Fund	Growth					
	Dividend	Daily	Payout				Dividend		Payout					
		Weekly	Reinvestment						Reinvestment					
		Monthly	Sweep						Sweep					
Cash Management Fund - Money at Call Option and Cash Management Fund - Money at Call Option - Institutional Plan	Growth				Dividend Monthly		Payout	Global Opportunities Fund	Growth					
	Dividend	Daily	Payout				Dividend		Payout					
			Reinvestment						Reinvestment					
			Sweep						Sweep					
Cash Management Fund - Liquid Option - Institutional Premium Plan	Growth				Dividend Quarterly		Payout	Growth Fund	Growth					
	Dividend	Daily	Payout				Dividend		Payout					
		Weekly	Reinvestment						Reinvestment					
		Monthly	Reinvestment				Sweep			Sweep				
Income Fund and Income Fund - Institutional Plan	Growth		Accumulation	Government Securities Fund - Investment Plan	Growth		Accumulation	Equity Fund	Growth					
			Auto Earnings Payout - Qtrly.				Dividend		Payout					
			Auto Earnings Payout - Hlf-yrly						Reinvestment					
									Sweep					
	Dividend	Quarterly	Payout		Dividend Quarterly		Payout	Index Fund	Growth					
			Reinvestment				Dividend		Payout					
			Sweep						Reinvestment					
		Dividend	Half Yearly		Payout	Dividend Half Yearly			Payout	Trust Benefit Fund	Growth			
	Reinvestment				Dividend			Quarterly Dividend	Payout					
	Sweep								Reinvestment					
	Income Fund - Short Term Plan		Growth			Growth		Accumulation			Sweep			
		Dividend	Monthly		Payout			Dividend Quarterly			Payout	Half Yearly Dividend		Payout
Reinvestment											Reinvestment			
Sweep											Sweep			
Income Fund - Short Term Plan - Institutional Plan	Growth			Government Securities Fund - Provident Fund Plan	Growth		Accumulation				Sweep			
	Dividend	Weekly	Payout				Dividend Half Yearly		Payout		Annual Dividend		Payout	
			Fortnightly						Reinvestment			Reinvestment		
			Monthly						Sweep			Sweep		
Monthly Income Plan	Growth		Accumulation		Dividend Half Yearly		Payout	Principal PNB Debt Fund		<input type="checkbox"/> Growth <input type="checkbox"/> Dividend <input type="checkbox"/> Bonus				
			Auto Earnings Payout - Monthly				Annual NAV Rebalancing Option		Principal Resurgent India Equity Fund		Growth			
			Auto Earnings Payout - Qtrly						Dividend					
									Reinvestment		Principal Personal Tax Saver			
	Dividend	Monthly	Payout		Annual NAV Rebalancing Option		Payout	Principal Money Value Bond Fund		Growth				
			Reinvestment				Dividend							
			Sweep						Floating Rate Fund - Short Maturity Plan - <input type="checkbox"/> Institutional Plan <input type="checkbox"/> Regular Plan		Growth			
		Dividend	Quarterly		Payout	Child Benefit Fund	<input type="checkbox"/> Career Builder		7 years					
	Reinvestment			<input type="checkbox"/> Future Guard			10 years		Dividend Daily		Payout			
	Sweep						15 years		Weekly		Reinvestment			
	Tax Savings Fund				Dividend Yield Fund	Growth				Floating Rate Fund - Flexible Maturity Plan - <input type="checkbox"/> Institutional Plan <input type="checkbox"/> Regular Plan		Growth		
		Dividend				Payout		Dividend		Weekly	Payout			
						Reinvestment		Monthly		Reinvestment				

Instructions

- This Transaction Form can be used for all indicated transactions that an existing account holder may want to make with Principal Mutual Fund.
- The additional purchase request should be for a minimum of Rs 5,000/- in Principal Global Opportunities Fund, Rs 1,000/- in Liquid Option, Rs 1,00,000 in Money at Call Option, Rs. 1 lakh in Institutional Plans of Floating Rate Fund, Rs. 1 crore in Liquid Option - Institutional Premium Plan, Rs. 25 lakhs in Institutional Plans of Income Fund, Income Fund - Short Term Plan, Cash Management Fund - Liquid Option, Cash Management Fund - Money at Call Option and Rs.500 in all other schemes.
- For redeeming units, in case the balance in your account does not cover the amount of the request, the account shall be closed and the entire such (lesser) amount shall be sent to you.
- For Systematic Investment Plan (SIP), kindly provide the total number of cheques alongwith the frequency and SIP date.
- For Systematic Withdrawal Plan (SWP)/Systematic Transfer Plan (SSTP), kindly provide the SWP/SSTP amount you would like to redeem/ transfer, the frequency and date. In case the balance in your account does not cover the amount of the SWP/Transfer, the account shall be closed and the entire such (lesser) amount shall be sent/transferred to you.
- If you have selected the Dividend Plan, please mention the Dividend Option also.
- All cheques and drafts should be drawn in favour of "Principal + Scheme Name" and crossed "A/c Payee only". For example, "Principal Growth Fund".
- Units will be allotted on the date of receipt of credit for outstation cheques.