

Franklin Templeton Mutual Fund SMS Transactions - Registration Form

Sl. No.

(See instructions overleaf)



note that the SMS transaction facility is currently available only for existing Individual Unitholder(s) including guardian on behalf of minor. Please note that KYC formalities should be completed for all unitholders to avail this facility.

Please select any one option

☐ Registration ☐ Change ☐ Cancellation

Unitholder Information (Fields marked with * are mandatory)

Name of first / sole unitholder / Guardian *

PAN of first holder * KYC * ☐ Yes ☐ No

Name of second unitholder

PAN of second holder KYC ☐ Yes ☐ No

Name of third unitholder

PAN of third holder KYC ☐ Yes ☐ No

Customer folio / account number*

Mobile number* 9 1

(This mobile number would be registered in the above customer folio. Transaction alerts would be sent in this mobile number)

Email id *

(This email id would be registered in the above customer folio. Transaction alerts would be sent in this email id)

Currently, this facility is available for Purchases & redemptions of less than Rs. 5 lakhs (Rupees five lakhs) per day. You may register for SMS transactions for only one customer folio using the above mobile number. If you have another folio and want to register for SMS transactions in that customer folio, please fill up a separate form and use a different mobile number.

Bank account details

Payments for purchase requests received via SMS should be done via the NACH mode only. Please tick as appropriate

☐ I already have registered an ACH mandate in the above customer folio / account number

Bank name Bank account number

☐ I would like to register a fresh ACH mandate (please fill the NACH registration form given below)

I / We consent to avail the SMS transaction facility. I / We agree with the terms and conditions mentioned for using this facility

Transaction Charges: Applicable for transactions routed through distributors who have opted to receive transaction charges. For existing mutual fund investor, Rs. 100/- will be deducted. Please refer instructions in KIM / common transaction form

Signature of first / sole holder or Guardian

Signature of second holder

Signature of third holder

Auto Debit Form - NACH Registration

| ADF |



UMRN

F o r o f f i c e u s e

Date

Tick (✓)

CREATE ☒
MODIFY ☒
CANCEL ☒

I/We hereby authorize

Franklin Templeton Mutual Fund

to debit (tick ✓)

SB CA CC SB-NRE SB-NRO Other

Bank a/c number

with Bank

Name of Customers bank

IFSC

or MICR

an amount of Rupees

₹

FREQUENCY ☒ Mthly ☒ Qyly ☒ H-Yrly ☒ Yrly ☒ As & when presented

DEBIT TYPE ☒ Fixed Amount ☒ Maximum Amount

Reference 1 Folio Number

Phone No.

Reference 2 Application Number

Email ID

PERIOD

From

To

Or

☒ Until Cancelled

Signature Primary Account holder

Signature of Account holder

Signature of Account holder

1. Name as in Bank records

2. Name as in Bank records

3. Name as in Bank records

This payment mandate is towards my investment in Franklin Templeton Mutual Fund. I/We hereby declare that the particulars given on this mandate are correct and complete, if the transaction is delayed or not effected at all for reasons of incorrect or incomplete information, I/We would not hold Franklin Templeton Investments/ participating banks responsible. I/We have read and understood the instructions, the Terms and Conditions and agree to abide by the same. I/We authorize the use of the above mentioned contact details for the purpose of this specific mandate processing.

Terms & Conditions

- Unitholder(s) are deemed to have read and accepted the terms and conditions as stated in the latest Statement of Additional Information (SAI), Scheme Information Document (SID) of the Fund, the Key Information Memorandum (KIM) and the Addenda issued till date, Product Labels details of the respective scheme, the Know Your Customer (KYC) norms and bind themselves to the terms and conditions of the SMS transaction facility upon signing this SMS Transactions form
- This Facility is currently available for existing KYC compliant Unitholder(s) who are Resident and Non-Resident Individuals only. However Franklin Templeton Asset Management (I) Private Limited (FTAMIL) may extend this Facility to other categories of Unitholder(s) like sole proprietors, and non-individuals later at its own discretion
- This facility is currently available in all open ended schemes of FTAMIL, excluding Templeton India Children's asset Plan Templeton India Pension Plan, Templeton India Government Securities Fund - Provident Fund plan
- In order to avail this facility, the Unitholder(s) should submit this SMS transactions-registration form along with NACH registration form. The Form needs to be signed by all Unitholder(s)
- Unitholder(s) will have to register a single bank mandate for purchase through the Automated Clearing House (ACH) facility. This bank account will be debited towards subscription. The redemption proceeds will be credited only the default bank account registered in this customer folio / account number separately.
- Unitholder(s) should submit duly filled Multiple Bank Mandate registration form to any of our investor service centres, in case they would like to change / modify the default bank mandate.
- Mobile Number Registration: Unitholder(s) of the Fund will have to register a 10 digit Indian mobile number for availing this Facility. The mobile number provided in the registration form shall be updated in the respective customer folio as the primary mobile number and transaction alerts and / or confirmations would be sent to this mobile number. Change in primary mobile number can be done only via a written request (submission of this Form) and not through our website or call centre or e-mail. Unitholder(s) of the fund can start transacting through this facility only after successful registration of the one-time ACH mandate and only upon receipt of confirmation from FTAMIL via SMS/ email regarding the successful registration of this facility.
- Unitholder(s) can send a transaction SMS only through the registered mobile number with the pre-defined keywords only (available on the website www.franklintempletonindia.com)
- Email Id Registration: Unitholder(s) of the Fund will have to register an email id in their folio for availing this facility. The email id provided in the SMS Transactions - Registration Form shall be updated in the folio for which the facility is requested. Transaction alerts, account statements, annual reports and/or other Statutory Information, as the case may be, shall be sent via email instead of a physical document
- The default dividend option for transaction shall be as specified in the respective Scheme Information Document (SID), as amended from time to time.
- Currently, this facility is available for Purchases & redemptions of less than Rs. 5 lakhs (Rupees five lakhs) per day. Under this facility, the transaction in the form of purchases and/ or redemptions will be accepted in 'amount' only and not through number of units.
- The minimum initial and additional purchase/redemption amount in the respective plan / option of the Schemes of the Fund as specified in the respective SID , as amended from time to time, will be applicable for each transaction
- Franklin Templeton shall not be held liable or responsible if the transaction is delayed or not effected (rejected) at all for reasons of incomplete or incorrect information or due to non-receipt of the SMS message by Franklin Templeton.
- Applicable NAV for the transaction will be dependent upon the time of receipt of the SMS message into the Franklin Templeton server and electronically time-stamped.
- The request for transaction is to be considered as accepted only on the receipt of the confirmation SMS from Franklin Templeton on the registered mobile number. In case of non-receipt of such a mail / SMS from Franklin Templeton within a reasonable time, Unitholder(s) are advised to immediately call up the investor helpline on toll free nos. 1800 4254255 or 60004255 to confirm the status of the transaction request.
- In case of receipt of multiple confirmation SMSes from Franklin Templeton against a single transaction request, the same needs to be brought to the immediate attention of Franklin Templeton.
- FTAMIL may in its absolute discretion and in the Unitholder's interest, request the Unitholder(s) for an verbal, email or written confirmation of any transaction request and/or any additional information with respect to the Unitholder(s). Subject to applicable laws, the FTAMIL has the right to suspend this facility for any particular Unitholder, at its sole discretion, without assigning any reasons to the Unitholder(s).
- Franklin Templeton may at its sole discretion, modify or suspend this facility in whole or in part, at any time with or without prior notice to the Unitholders, as may be deemed expedient or necessary; By agreeing to the Terms and Conditions, the Unitholder shall at all times be bound by any such modifications / variations or suspension to this facility.
- The Unitholder(s) shall check his / her / its account records carefully and promptly. If the Unitholder(s) believes that there has been an error in any transaction using this facility, or that an unauthorized transaction has been effected, the Unitholder(s) shall notify FTAMIL immediately.
- In case of 'Single' holding folio, this facility will be offered by FTAMIL, to the sole Unitholder. [Incase the mode of holding of the folio is 'Anyone or Survivor', 'Joint', FTAMIL shall offer this facility in favour of the first holder mentioned in the folio.]
- In case the Unitholder is a 'minor', the legal / natural guardian shall be eligible to avail this facility till the minor attains majority. As such the legal / natural guardian may make payments from the minor's respective bank account (or in accordance with the exceptions provided for third party payments) and the same shall be recognized by FTAMIL as valid payment as per the guidelines on third party payments.
- Any transaction request on a non-Business Day will be processed on the next Business Day in accordance with the provisions of the SID of the respective Scheme, as amended from time to time.
- The Unitholder(s) shall ensure availability of clear funds in their respective bank account, as specified in the registration form, at the time of requesting a transaction using this facility. Franklin Templeton shall not be held liable for any unsuccessful Transaction due to non availability of funds in the bank account of the Unitholder. Franklin Templeton shall attempt to settle the transaction by requesting the registered bank for release of funds. However, in case of non-receipt of the funds, the transaction shall stand cancelled and the units allotted, if any, would be reversed.
- Requests like change in bank mandate, change of nomination, change in mode of holding, change of address or other requests will not be permitted by using this facility. Unitholder(s) shall inform FTAMIL about any change in their bank account number, mobile number or email id only through a written request (duly signed) in the format specified by FTAMIL.
- FTAMIL accepts no liability whatsoever for non-compliance with the laws of any country other than the Republic of India. The fact that this facility may be accessed by a Unitholder by sending a SMS from a country other than India, shall not be interpreted to imply that the laws of the said country supersede these Terms and Conditions and/or the use of this facility. This facility shall be expressly governed by these Terms and Conditions at all times. The time taken to verify and register for this facility will be up to 15 days from receipt of duly completed SMS Transactions - Registration Form
- Notwithstanding anything to the contrary FTAMIL will not be liable to the Unitholder(s) for any damages whether direct or indirect, consequential or special, exemplary or punitive losses, costs or injury suffered, by the Unitholder(s), or by others, related to the use or cancellation of this facility
- The grant of this facility is not transferable / assignable under any circumstances.

Unitholders to note that by signing up for this facility, the Unitholders also give the following confirmations, declarations and authorizations set out below and also such other confirmations, declarations and authorisations provided in the above Terms & conditions

- The responsibility of the information provided in this registration form or any other application form for this facility solely rests with the Unitholder(s) and Franklin Templeton will not be responsible or liable for any loss, claims, liability that may arise on account of any incorrect and / or erroneous data / information supplied by the Unitholder(s).
- The Unitholder(s) agrees that in order to be eligible for this facility of SMS based transactions, he / she / they would need to have a mobile phone and register their mobile phone number with Franklin Templeton. The Unitholder(s) further accepts that an application for availing this facility does not automatically imply acceptance of the same by Franklin Templeton
- By opting for the facility, the Unitholder(s) irrevocably authorizes and instructs Franklin Templeton AMC, its trustees and transfer agency and their representatives, delegates and / or agents to act as his / her agent and to do all such acts as they may find necessary to provide this facility.
- The Unitholder(s) agrees, and warrants, that it shall be his / her / their responsibility to ensure protection and confidentiality of non public personal information and any disclosures thereof shall remain entirely at the Unitholder(s)'s risk and cost. FTAMIL shall be notified immediately if a record of the non public information, is lost or stolen or if the Unitholder(s) is aware or suspects another person knows or has used the same without appropriate permission / authority from the actual Unitholder(s).
- The Unitholder(s) agree that they shall be liable to pay all charges, fees, interests, and costs wherever applicable, which FTAMIL in its absolute discretion may levy with respect to this facility.
- The Unitholder(s) agrees and acknowledges that any transaction undertaken using the Unitholder(s)'s Mobile number shall be deemed to be that of the Unitholder(s).
- The Unitholder(s) hereby confirms, acknowledges and undertakes to make payments for Subscription of Units of the Scheme from their respective bank account(s) in compliance with applicable provisions relating to third party payments detailed in the Scheme Information Document and that the payment will be through legitimate sources only.
- The Unitholder(s) shall take responsibility for all the transactions conducted by using this facility and will abide by the record of transactions generated by Franklin Templeton with respect to this facility.
- Additionally, the Unitholder(s) confirm that Franklin Templeton or their respective delegates, shall under no circumstances be liable for any damages or losses whatsoever whether such damages or losses are direct, indirect, incidental, consequential and irrespective of whether any claim is based on loss of revenue, investment, goodwill, profit, interruption of business or any other loss or want character or nature whatsoever and whether sustained by the Unitholder or any other person, due to:
- Disruption or non-availability of this facility in the desired manner for reasons including but not limited to natural calamity, floods, fire and other natural disasters, legal restraints, period maintenance of servers, technical fault / error or virus, any failure of the service provider, loss or corruption of data, mobile device failure or malfunctioning, faults or failures in telecommunication network, software or hardware error or any other reason beyond the control of Franklin Templeton; any transaction carried out in good faith by Franklin Templeton using this facility based on instructions of the Unitholder(s); any unauthorized usage / unauthorized transactions concluded by using this facility; any error, defect, failure or interruption in the provision of this facility; any negligence / mistake or misconduct by the Unitholder(s) and / or for any breach or non compliance by the Unitholder(s) of the provisions of Scheme Information Document or any other instructions provided by the Franklin Templeton;
- Not carrying out any such instructions where Franklin Templeton has reason to believe (which decision of the Franklin Templeton, the Unitholder(s) shall not question or dispute) that the instructions given are not genuine or are otherwise improper, unclear, vague or raise a doubt;
- Carrying out a transaction after such reasonable verification as Franklin Templeton may deem fit regarding the identity of the Unitholder(s); and
- Non-compliance with the terms and conditions set out herein.
- The Unitholder(s) shall be liable for the losses / consequences arising from any unauthorised transactions through this facility or breach of terms and conditions provided herein or caused the loss by negligent actions such as not advising Franklin Templeton within a reasonable time about unauthorized access to or erroneous transactions in his account.
- The Unitholder(s) agrees to indemnify Franklin Templeton, its trustees and transfer agency for all liabilities, losses, damages and expenses which the AMC may sustain or incur either directly or indirectly as a result of:
 - any claims (including claims by third parties) for any refusal or for carrying out any transaction by Franklin Templeton using this facility based on instructions of the sole / first Unitholder(s);
 - any unauthorized usage / unauthorized transactions concluded by using this facility
 - fraud or dishonesty relating to any instruction by the Unitholder(s);
 - incorrect / erroneous information provided by the Unitholder(s) in this registration form or any other application form submitted by the Unitholder(s) with Franklin Templeton ; and
 - any negligence / mistake or misconduct by the Unitholder(s) and / or for any breach or non compliance by the Unitholder(s) of the provisions of this SID or SAI or any other instructions provided by FTAMIL.

Transaction Charges:

The AMC/Mutual Fund shall deduct Transaction Charges on purchase/subscription applications received from investors that are routed through a distributor/agent/broker as follows, provided the distributor/agent/broker has opted to receive the transaction charges. The distributors have the option to either opt in or opt out of levying transaction charge based on type of the product:

- First time investor in mutual funds:
 - Transaction Charge of Rs.150/- on purchase/subscription application of Rs.10,000 and above shall be deducted from the subscription amount and paid to the distributor/agent/broker of the investor. Units will be allotted for the balance subscription amount (net of the transaction charge deducted).
- Investors other than first time investor in mutual funds:
 - Transaction Charge of Rs.100/- per purchase/subscription application of Rs.10,000 and above shall be deducted from the subscription amount and paid to the distributor/agent/broker of the investor. Units will be allotted for the balance subscription amount (net of the transaction charge deducted).
- In case of investments through Systematic Investment Plan (SIP), the Transaction Charge shall be deducted only if the total commitment through SIP (i.e. amount per SIP instalment x No. of SIP instalments) amounts to Rs.10,000/- and above. The Transaction Charge shall be deducted in 3 or 4 instalments, as may be decided by the AMC from time to time.
- The Transaction Charges shall not be deducted for:
 - purchase/subscription applications for an amount less than Rs.10,000/-;
 - transactions other than purchases/subscriptions relating to new inflows such as switches, redemption, Systematic Transaction Plan, Dividend Transfer Plan etc;
 - direct applications received by the AMC i.e. applications received at any Official Point of Acceptance of Transaction of Franklin Templeton Mutual Fund that are not routed through any distributor/agent/broker; and
 - Transactions routed through stock exchange platform.

The statement of account shall clearly state that the net investment as gross subscription less transaction charge and give the number of units allotted against the net investment. The upfront commission to distributors shall continue to be paid by the investor directly to the distributor by a separate cheque based on his assessment of various factors including the service rendered by the distributor.

Instructions To Fill Auto Debit Form and Terms and Conditions

- Following fields need to be filled mandatorily:-

- Date: In format DD/MM/YYYY
- Bank A/c Type: Tick the relevant box
- Fill Bank Account Number
- Fill name of Destination Bank
- IFSC / MICR code: Fill respective code
- Mention Maximum Amount
- Reference 1: Mention Folio Number
- Reference 2: Mention Application Number
- Period: Starting date of NACH registration (in format DD/MM/YYYY)
- Telephone Number (Optional)
- Email ID
- Signature as per bank account
- Name: Mention Holder Name as Per Bank Record

- Auto Debit Bank Mandate can be used for both SIP and Lump Sum Purchase.

- Investors are allowed to perform Lump sum purchase and SIP on a same day provided the Auto Debit bank account has the adequate funds to honor multiple debits

- Auto Debit Bank Mandate is applicable for both Individual and Non-Individual

- Registration of Multiple Auto Debit forms is acceptable with different Bank and Accounts.

- Per transaction limit should be less than or equal to the amount as mentioned in Auto Debit Form already registered or submitted, if not registered

- For cancelling / updating an Auto Debit mandate. Investor has to use a separate form – “Auto Debit Cancellation/ Update Form”. Update option is only for updating the “Debit Amount”

- Investors are required to submit “New Auto Debit / ECS Mandate” registration first and only after successful registration an existing “Auto Debit Mandate” associated with a SIP can be cancelled.

- Auto Debit Mandate request will be accepted only if the “Bank” mentioned in the request form is listed in the NACH banks list. Please contact Franklin Templeton ISC / visit www.franklintempletonindia.com for updated list of banks eligible for Auto Debit Facility.

- Submitting Auto Debit form does not confirm your investments in FTMF unless supported by SIP Investment Form or Common Transaction forms

- Franklin Templeton will initiate debit instructions to the investor bank account only on receipt of valid investment instruction from the investor.

- For other Terms and Conditions governing NACH Auto Debit payments please refer KIM or www.franklintempletonindia.com

- Auto Debit bank mandate is applicable only for investments via debit instructions

- By submitting the Auto Debit mandate the investor authorizes Franklin Templeton to utilize the information provided herein for the purpose of his/her investments in Franklin Templeton Mutual Fund, including creation of a folio

- Investors are deemed to have read and understood the requirements and contents of Statement of Additional Information (SAI), Scheme Information Document (SID) and all other scheme related documents

The following applications will be considered as 'not in good order' (NIGO) and are liable to be rejected:

- If folio number mentioned in the Fresh / Additional Purchase, SIP Auto Debit form, Switch, STP, SWP & NCT request does not match Folio Number mentioned in Auto Debit registration mandate Form.

- If the folio number mentioned in the Auto Debit mandate registration form does not match with our record, the NACH mandate will not be registered.

- If the SIP period mentioned in SIP via Auto Debit form is beyond the Auto Debit Mandate validity period or Auto Debit validity period expired.